

Training Workshop: New Environmental and Social Framework and Stakeholder Engagement in Sustainable Infrastructure Development Projects

Introduction of the Regional Guideline on Public Participation in EIA in the Mekong Region and New Environmental and Social Framework of the World Bank Group

Hanoi, May 22-24, 2019

Background

For the developing countries, investments in infrastructure projects are critical to meet the needs of their growing populations and to stimulate economic growth. In particular, transportation, water and energy infrastructure development are regarded as essential investments to securing basic needs, such as people and goods transporting, storing water for urban use and irrigation, for food and energy production, and for managing flood risks. These types of infrastructure development - when built on a large-scale without sufficient planning or adherence to social and environmental safeguards - may result in significant adverse environmental and social impacts. Engaging effectively with relevant stakeholders throughout the project cycle - from planning through construction and operation – can contribute to reducing potential impacts, avoiding social conflicts, and resulting in more efficient projects with better development outcomes. Therefore, public participation is being considered as an integral part of the environmental and social impact assessment procedure.

Public participation in EIA is commonly deemed to foster democratic policy-making and to render EIA more effective. Beyond this general assertion, opinions of the precise meaning, objectives and adequate representation of public consultation and participation in EIA considerably diverge, especially in a giant or trans-boundary infrastructure development projects. Against this background, the training workshop on **Stakeholder Engagement in Sustainable Infrastructure Development Planning and Management** aims to provide a comprehensive overview of the public participation in EIA concerning its meaning, objectives and adequate level of inclusiveness. It enables participants to share their experience and concerns about the current policies and practices in regard to public participation in EIA process in their countries. The workshop also introduces the **new Environmental and Social Framework of the World Bank Group (known as New ESF)** and the **Regional Guidelines on Public Participation in EIA in the Mekong Region** which is considered as a unique product of the intensive collaboration and coordination among five Greater Mekong Sub-region (GMS) countries to address a common challenge of achieving more meaningful and effective public participation in the EIA process.

Training Objectives

The training workshop aims to:

1. share knowledge and discuss the policy and practice of stakeholder engagement in large-scale infrastructure development and planning;
2. increase knowledge and understanding of benefits and risks for effective stakeholder engagement;
3. gain experience in utilizing tools and best practices for stakeholder engagement, with a particular focus on vulnerable groups and gender equity;
4. develop competencies in conducting effective public consultation meetings and engaging stakeholders in EIA process;

5. be introduced with the Regional Guideline on Public Participation in EIA in Mekong Region;
and
6. be updated with the new ESF of the World Bank Group.

Participants

The training workshop will target governmental agencies responsible for infrastructure planning, implementation and investment, impact assessment and related policy development, and international corporations and enterprises, NGOs and other groups of interested people.

The workshop will be conducted in English and workshop documents will be in English.

Training Methodology

The training involves participatory learning approaches (PLA), application-oriented and interactive, emphasizing on group discussions and analysis of cases/case studies. The course instructors provide basic knowledge and their role is essentially that of facilitators. Participants are encouraged to raise their ideas and issues related to the course topics, then brain-storm or discuss with other participants and facilitators to find out solutions as well as draw lessons learned.

Workshop discussion topics

The 3-day training workshop will cover the following topics:

- Overview of public consultation and participation in EIA
- EIA decision and consultation process
- Essential elements of public consultation and participation, comparing international/WB/ADB best practices
- Community consultation and participation, skills and techniques
- Regional guidelines and best practices in public consultation and participation
- Women's consultation and engagement in EIA process
- Introduction of WB's New Environmental and Social Framework and public consultation

Key Program Features

Times & dates : 2 days; Morning: 8:30 - 12:00 am; Afternoon: 1:30 - 17:00 pm.

Training venue : AITVN, Hanoi office, B3 Building, University of Transport and Communication, Lang Thuong Ward, Dong Da District, Hanoi, Vietnam

Registration : Before April 15, 2018

Course fee : US\$300/person/course

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Course Agenda

Day 1, Wednesday, May 8		
Time	Program	Facilitators
8:00-8:30	Registration	LC/AITVN
8:30-8:45	Welcome remarks from AITVN and Development Partners Introduction and objectives of the training	AITVN's and its partners' representatives
Session 1. Introduction of New Environmental and Social Framework (ESF) <ul style="list-style-type: none"> • Overview of new ESF • Borrower's Requirements: Environmental and Social Standards 1-10 • Public Consultation and Information Disclosure in new ESF 		
8:45-9:15	Participant introduction/Ice breaking exercise	Facilitators/Coordinators
9:15-10:15	Overview of new Environmental and Social Framework <ul style="list-style-type: none"> - A vision for sustainable development - World Bank environmental and social policy for investment project financing <ul style="list-style-type: none"> ○ Purpose ○ Objectives and principles ○ Scope of application ○ Bank requirements 	Environmental Specialist/Consultant
10:15-10:30	Coffee Break	
10:30-12:00	Borrower Requirements: Environmental and Social Standards 1-10 <ul style="list-style-type: none"> - Environmental and Social Standard 1. Assessment and Management of Environmental and Social Risks and Impacts - Environmental and Social Standard 2. Labor and Working Conditions 	Environmental Specialist/Consultant
12:00-13:30	Lunch Break	
13:30-14:00	Group work	Participants
14:00-15:00	<ul style="list-style-type: none"> - Environmental and Social Standard 3. Resource Efficiency and Pollution Prevention and Management - Environmental and Social Standard 4. Community Health and Safety 	Environmental Specialist/Consultant
15:00-15:15	Coffee Break	
15:15-16:00	<ul style="list-style-type: none"> - Environmental and Social Standard 6. Biodiversity Conservation and Sustainable Management of Living Natural Resources - Environmental and Social Standard 8. Cultural Heritage 	Environmental Specialist/Consultant
16:00-16:30	Group work (cont.)	Participants
16:30-17:00	Group presentation	Participants
Day 2, Thursday, May 9		

8:30-8:45	Review of Day 1 and Q&A	Facilitators/Coordinators
8:45-9:30	- Environmental and Social Standard 5. Land Acquisition, Restrictions on Land Use and Involuntary Resettlement	Guest Speaker/Social Consultant
9:30-10:00	Group work	Participants
10:00-10:15	Coffee Break	
10:15-11:15	- Environmental and Social Standard 7. Indigenous Peoples/Sub-Saharan African Historically Underserved Traditional Local Communities - Environmental and Social Standard 10. Stakeholder Engagement and Information Disclosure	Guest Speaker/Social Consultant
11:15-11:45	Group work	Participants
11:45-12:15	Group presentation	Participants
12:15-13:30	Lunch Break	
Session 2. Stakeholder Engagement in Practice: Case studies, tools and guidelines		
Objectives:		
<ul style="list-style-type: none"> • Improve understanding of private sector perspectives in stakeholder engagement • Learn practical tools for stakeholder engagement, focusing on methods for engaging with affected communities, vulnerable groups, and women • Improve competencies of engagement with respect to vulnerable groups and gender equity 		
13:30-14:00	Introduction of the case study Overview and analysis of regional situation and trends in infrastructure investments, current policies and practices	AITVN
14:00-14:45	Case study 1: Shangri-La Hydropower Project - Stakeholder Analysis - Meaningful Participation - What are the effects of the project on the specific stakeholder your team receives - Consider positive/adverse effects and benefits and costs	
14:45-15:15	Group presentation and discussion	Participants
15:15-15:30	Coffee Break	
15:30-16:30	Case study and Group work: - Case study: Shangri-La Hydropower Project - Group work: Identify vulnerable groups who are the most at risk/vulnerable matters in the project context - Analysis of participation levels of each vulnerable group - When they need to participate - How to measure participation level	AITVN

16:30-17:00	<u>Group presentation:</u> <ul style="list-style-type: none"> - Presentation of results of the case analysis - Wrap up: Practical tools for identifying the most vulnerable groups 	Participants
Day 3, Friday, May 10		
8:30-8:45	Review of Day 1 and Q&A	Facilitators/Coordinators
Session 3. How to make and implement a stakeholder engagement plan <u>Objectives:</u> <ul style="list-style-type: none"> • Understand steps for making a stakeholder engagement plan • Understand and be able to use consultation strategies, methods and techniques to achieve a meaningful public consultation • How to facilitate a public consultation meeting • Documentation, feedbacks, and the Grievance Redress Mechanism (GRM) 		
8:45-9:30	Group work: Preparation/plan for consultation/ participation <ul style="list-style-type: none"> • Question: How to plan a consultation in the affected community for a development project? (30 min for discussion in small groups and 5 minutes per group for discussion; 10 min for some comments) • Four groups make plans for consultation, including purpose, identification of target groups, preparation of information for consultation, planned time and place, methods of consultation, feedback mechanism and documentation. 	Guest Speaker, VASS
9:30-10:00	Presentation: Prepare a public consultation and participation plan	Guest Speaker, VASS
10:00-10:15	<u>Coffee Break</u>	
10:15-11:15	Group work: Facilitating a consultation <ul style="list-style-type: none"> • Role Play: Practice facilitating a consultation in a specific situation • Plenary discussion (20 min) <ul style="list-style-type: none"> - Each group analyses the facilitation skills in the role play exercises. - Lessons learned 	Guest Speaker, VASS
11:15-12:00	Presentation: Facilitation of a consultation meeting Specific techniques/methods in conducting consultation with community (questioning, observing, listening, encouraging, conflict management and planning next steps)	Guest Speaker, VASS
12:00-13:30	<u>Lunch Break</u>	
Session 4. Regional Guideline on Public Participation in EIA Process and Meaningful participation of Women, Vulnerable and Ethnic Groups <u>Objectives:</u> <ul style="list-style-type: none"> • Identify what is meaningful participation • Improve competencies in applying meaningful participation 		
13:30-14:15	Regional Guideline on Public Participation in EIA process	Guest Speaker, MONRE

14:15-15:00	Presentation 5: Women' participation in EIA process	Guest Speaker, NGO
15:00-15:15	<u>Coffee break</u>	
15:15-16:30	<u>Country-specific application: Women' participation in EIA process - Trung Son Hydropower Project</u> Case analysis, presentation and discussion	Guest Speaker, NGO
16:30-17:00	Course wrap-up and evaluation Closing	AITVN